**2018 Five Star Wealth Manager Criteria:**

**Eligibility Criteria – Required**

1. Credentialed as an investment adviser representative or

a registered investment adviser.

2. Actively employed as a registered investment adviser

representative or as a principal of a registered

investment adviser firm for a minimum of five years.

3. Favorable regulatory and complaint history review.

4. Fulfilled their firm review based on internal firm

standards.

5. Accepting new clients.

**Evaluation Criteria – Considered**

6. One-year client retention rate.

7. Five-year client retention rate.

8. Non-institutional discretionary and/or non-

discretionary client assets administered.

9. Number of client households served.

10. Education and professional designations

4,383 award candidates in the New Jersey area were considered for the Five Star Wealth Manager award. 415 (approximately 9 percent of the award candidates) were named 2018 Five Star Wealth Managers.